



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: John Nafeh et al.

TITLE: Methods and Apparatus for Formulation, Initial Public or Private Offering, and Secondary Market Trading of Risk Management Contracts

APPLICATION NO.: 09/923,035

FILED: August 6, 2001

EXAMINER.: Timothy M. Harbeck

ART UNIT: 3628

ATTY.DKT.NO.: PA3817US (NEW)

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COMMISSIONER FOR PATENTS  
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ALEXANDRIA, VA 22313-1450

REVOCATION AND POWER OF ATTORNEY

I, the undersigned, **Angelo Pezzani, General Counsel of HedgeStreet, Inc.**, the assignee of the entire right, title and interest in the above-referenced United States patent application, am authorized to act and sign on behalf of the assignee and hereby revoke all prior powers of attorney previously submitted in the above-referenced U.S. patent application and hereby appoint the agents and attorneys associated with **Customer Number 22830** to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

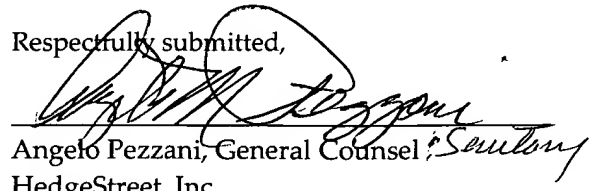
Please direct all communication relative to this patent to the following correspondence address:

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Date:

July 11, 2006

Respectfully submitted,

  
Angelo Pezzani, General Counsel  
HedgeStreet, Inc.



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: John Nafeh

Application No./Patent No./Control No.: 09/923,035 Filed/Issue Date: August 6, 2001

Entitled: Methods and Apparatus for Formulation, Initial Public or Private Offering, and Secondary Market Trading of Risk Management Contracts

HedgeStreet, Inc., a corporation  
(Name of Assignee) (Type of Assignee: corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or  
2. ☐ an assignee of less than the entire right, title and interest  
(The extent (by percentage) of its ownership interest is \_\_\_\_\_ %)

in the patent application/patent identified above by virtue of either:

A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or a true copy of the original assignment is attached.

OR

B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: John Nafeh and Kenton K. Yee To: Pareto Partners, Ltd.  
The document was recorded in the United States Patent and Trademark Office at  
Reel 012061, Frame 0416, or for which a copy thereof is attached.
2. From: Pareto Partners, Ltd. To: HedgeStreet, Inc.  
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☐ Additional documents in the chain of title are listed on a supplemental sheet.

**As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.**

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Angelo Pezzani  
Signature

July 11, 2006  
Date

Angelo Pezzani

Printed or Typed Name

Telephone Number

General Counsel & Secretary  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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